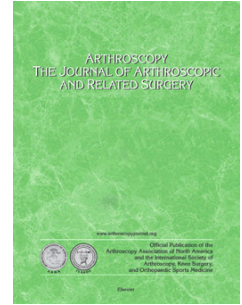


# Journal Pre-proof

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# **Intra-articular platelet-rich plasma combined with hyaluronic acid injection for knee osteoarthritis is superior to PRP or HA alone in inhibiting inflammation and improving pain and function**

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# 1 Intra-articular platelet-rich plasma combined with hyaluronic acid injection for knee 2 osteoarthritis is superior to PRP or HA alone in inhibiting inflammation and improving 3 pain and function

4 **Purpose:** The goal of this study was to evaluate the effectiveness and explore the therapeutic  
5 mechanisms of PRP combined with HA as a treatment for knee osteoarthritis (KOA). **Methods:** In total,  
6 122 knees were randomly divided into HA (34 knees), PRP (40 knees), and PRP+HA (48 knees) groups.  
7 Platelet densities in whole blood and PRP were examined using Wright-Giemsa staining. Visual  
8 Analogue Scale (VAS), Lequesne, Western Ontario and McMaster Universities Osteoarthritis Index  
9 (WOMAC), Lysholm scores and postoperative complications were evaluated. High-frequency color  
10 Doppler imaging was used to observe the synovium and cartilage. Enzyme-linked immunosorbent assays  
11 (ELISAs) were used to quantify interleukin-1 $\beta$  (IL-1 $\beta$ ), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), matrix  
12 metalloproteinase-3 (MMP-3), and tissue inhibitor of metalloproteinase-1 (TIMP-1) levels in synovial  
13 fluid. **Results:** The platelet density in PRP was 5.13-times that in whole blood ( $P = .002$ ). At 24 months,  
14 pain and function scores in the PRP+HA group were better than those in the HA and PRP alone groups  
15 ( $P_{\text{pain}} = .000$ ;  $P_{\text{function}} = .000$ ). At 6 and 12 months, synovial hyperplasia in the PRP and PRP+HA groups  
16 was improved ( $P < .05$ ). After 6 and 12 months, the synovial peak systolic velocity (PSV), synovial end  
17 diastolic velocity (EDV), systolic/diastolic ratio (S/D) and resistance index (RI) were improved in the  
18 PRP+HA group ( $P < .05$ ). Complications were highest in the PRP group ( $P = .008$ ). After 6 and 12  
19 months, IL-1 $\beta$ , TNF- $\alpha$ , MMP-3, and TIMP-1 in the PRP and PRP+HA groups decreased ( $P < .05$ ), with  
20 more apparent inhibition in the PRP+HA group ( $P < .05$ ). **Conclusions:** PRP combined with HA is more  
21 effective than PRP or HA alone at inhibiting synovial inflammation and can effectively improve pain  
22 and function and reduce adverse reactions. Its mechanism involves changes in the synovium and  
23 cytokine content. **Level of evidence:** Level II, Prospective cohort study.

## 24 Introduction

25 Knee osteoarthritis (KOA) is the predominant cause of knee joint pain and dysfunction in the elderly  
26 population,<sup>1,2</sup> and the global incidence is growing at an annual rate of 4.7% - 6.0%.<sup>3</sup> KOA affects  
27 patient quality of life and also imposes serious psychological and economic burdens on families and  
28 society.<sup>4,5</sup> Currently, total knee arthroplasty (TKA) is the ultimate treatment for KOA. However,  
29 conservative treatments are administered most frequently, including nonsteroidal anti-inflammatory  
30 drugs, hyaluronic acid (HA), and steroids. Among these treatments, HA is widely used. The advantage  
31 of HA is that it can achieve short-term pain relief but does not affect the natural course of KOA,<sup>6,7,8</sup>  
32 although administering multiple injections increases the economic burden for patients.<sup>9</sup> Some studies  
33 suggest that multiple HA injections may increase the risk of infection during future TKA procedures.<sup>10</sup>  
34 <sup>11</sup> Thus, HA fails to meet the requirements of an efficacious KOA treatment.

35 In recent years, platelet-rich plasma (PRP) has received considerable attention as a possible treatment  
36 for KOA. PRP contains at least seven growth factors, including platelet-derived growth factor (PDGF),  
37 vascular endothelial growth factor (VEGF), and transforming growth factor (TGF), and can promote  
38 chondrocyte regeneration and induction of adipose-derived mesenchymal stem cells into chondrocytes.  
39 <sup>12-15</sup> In some clinical cases, satisfactory treatment results have been achieved,<sup>16,17</sup> but PRP treatment is  
40 not free of complications. Evidence of the treatment mechanism obtained from high-quality research is

41 still lacking. Early postoperative joint pain, exacerbation of swelling, rash, proteinuria, vomiting, and  
42 other adverse reactions have been reported.<sup>18-20</sup>

43 Most previous studies have focused on the advantages and disadvantages of PRP and HA in the  
44 treatment of KOA,<sup>6,16</sup> and few studies have focused on the clinical efficacy and treatment mechanism of  
45 a combination of PRP and HA. In recent years, increasing evidence, both in vitro and in vivo, has  
46 supported the clinical use of PRP combined with HA therapy in the treatment of articular pathology,  
47 including KOA.<sup>21-26</sup> Furthermore, Marmotti et al.<sup>27</sup> and Yan et al.<sup>28</sup> found that the addition of HA to  
48 PRP could effectively promote the proliferation of chondrocytes and improve cartilage repair. More  
49 recently, a systematic review and meta-analysis including 653 trials also provided information about the  
50 therapeutic trajectory of PRP combined with HA for KOA. Interestingly, it revealed that PRP combined  
51 with HA did provide better overall clinical improvement than HA in terms of symptom-function  
52 improvement at every follow-up visit or in terms of duration of effect.<sup>29</sup> In this study, the improvement  
53 of inflammation and joint function and pain for KOA was investigated in depth. Therefore, the goal of  
54 this study was to evaluate the effectiveness and explore the therapeutic mechanisms of PRP combined  
55 with HA as a treatment for knee osteoarthritis (KOA). We hypothesized that PRP combined with HA  
56 would have a better clinical effect at inhibiting inflammation of the synovium than PRP or HA alone.

57

58

## 58 **Methods**

### 59 **Patient Selection**

60 The study protocol was approved by the Ethics Committee and was publicly accessible before  
61 enrollment of the first patient. We performed the study in accordance with the ethical standards outlined  
62 in the 2013 revision of 1975 Declaration of Helsinki, and we report the results according to the 2010  
63 CONSORT statement. The potential benefits and risks of PRP injection and follow-up were explained to  
64 each study patient. All patients provided written informed consent for participation in the study. The  
65 enrollment period was from June 1, 2016, to June 1, 2017, and the trial was registered (registration  
66 number: ChiCTR1800017731). Patient screening was performed in the outpatient department, where the  
67 chairman of the orthopedic department (C.Y.) evaluated patients' eligibility for study inclusion through  
68 past history collection, imaging examination, and laboratory testing, and patients were included in this  
69 study only if they met all the inclusion and exclusion criteria shown in Table 1.

70 All patients were assessed with Visual Analogue Scale (VAS) scores, Western Ontario and McMaster  
71 Universities Osteoarthritis Index (WOMAC) scores, Lequesne scores, and Lysholm scores before and at  
72 4 time points (1, 6, 12, and 24 months) after all three injections. Synovial thickness; cartilage thickness;  
73 synovial blood flow; and matrix metalloproteinase-3 (MMP-3), tissue inhibitor of metalloproteinase-1  
74 (TIMP-1), interleukin-1 $\beta$  (IL-1 $\beta$ ), and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) contents in the knee fluid before  
75 and 6 and 12 months after injection and complications within 2 months after the first injection were  
76 recorded.

### 77 **PRP Preparation and Platelet Count**

78 A 36-ml peripheral blood sample (bilateral knees, 72 ml) was collected from each patient, and 4 ml  
79 (bilateral knees, 8 ml) of the anticoagulant acid citrate dextrose was added to 50-ml centrifuge tubes  
80 (Corning, Lowell, MA, USA). At room temperature, the blood was centrifuged (TGL-16Gr, Anting  
81 Scientific Instrument Factory, Shanghai, China) at 160 g for 10 min. After the first spin, the blood was

82 separated into three components: erythrocytes at the bottom, a buffy coat in the middle, and  
83 platelet-containing plasma (PCP) at the top. The PCP was gently aspirated and transferred to a new tube  
84 and centrifuged again at 250 g for 15 min. After the second spin, the platelet-poor supernatant plasma  
85 was discarded by gentle aspiration. The leukocyte-poor PRP (P-PRP) was resuspended in the residual  
86 supernatant, which was collected and measured for volume by gentle aspiration with a 5-ml sterile  
87 injection syringe (Jet Biofil, Guangzhou, China).<sup>30</sup> Whole-blood and PRP specimens from all patients  
88 were stained using Wright-Giemsa staining. Platelet concentrations were measured using a hematology  
89 analyzer (MEK-6400, Nihon Kohden, Japan), which was completed by the senior examiner of the  
90 clinical laboratory before injection.

### 91 Procedure

92 Each intra-articular injection was performed by an independent orthopedic physician who was not  
93 involved in the assessments. To keep the patients blinded to their type of injection, we used a curtain to  
94 separate the patient from the injector. With knee flexion at 90°, a lower lateral patellar approach and a  
95 25G needle (outer diameter 0.50 mm, inner diameter 0.25 mm, length 90 mm) were used to inject 2 ml  
96 of HA (SOFAST, 2 ml/20 mg, 2500 kDa, Shandong, China), 4 ml of PRP, and 4 ml of PRP+2 ml HA in  
97 3 groups: group HA, group PRP, and group PRP+HA, respectively. Then, 0.5 ml of lidocaine was  
98 injected as local anesthesia into the skin and was not injected into the knee cavity to avoid a possible  
99 deleterious effect on platelets.<sup>31</sup> Every knee received three injections, and the interval was half a month.  
100 After injection, we passively flexed the knees for 20 seconds to achieve an adequate intra-articular  
101 distribution. Ten min after injection, the patients were sent home with written instructions mainly  
102 including avoiding strenuous exercise for 48 hours and applying ice for 15 min three times a day.

### 103 Outcome Measures

104 Neither the patients nor researchers knew the group assignments for the trial. All data were evaluated  
105 by independent physicians who remained blind to the study. Though a simple random remainder  
106 grouping method, the knees of subjects who met all the inclusion criteria were randomly assigned to the  
107 HA, PRP, and PRP+HA groups.

108 *Efficacy Evaluation:* Each patient received a booklet about the VAS, WOMAC, Lysholm, and  
109 Lequesne score questionnaires, and the questionnaires had to be completed by the patients before  
110 injection and at 1 month, 6 months, 12 months, and 24 months after all three injections. Paracetamol was  
111 the only drug permitted in the study but had to be discontinued 72 hours before each follow-up  
112 assessment.

113 *Ultrasound Evaluation:* Ultrasound examinations were completed by 3 physicians with more than 20  
114 years of clinical experience who were unaware of the study. Their main specialty is skeletal muscle  
115 ultrasound detection. We performed a pilot study before the study and found that there was no difference  
116 in the accuracy of ultrasound detection among the three physicians. A color ultrasound instrument  
117 (Philips iu22, USA) was used, the linear array probe was 5 ~ 12 MHz, the musculoskeletal low-speed  
118 blood flow condition was selected, the pulse Doppler sampling volume was 1 mm, and the angle  
119 between the sound speed and the blood flow was less than 45°. Each patient was in the supine position,  
120 fully exposing the knee joint, and the knee was bent at 30°. <sup>32,33</sup> The suprapatellar capsule (the probe was  
121 not pressurized), anterior patellar capsule, infrapatellar capsule, and medial and lateral synovia of the  
122 femoral condyle were examined. The thicknesses of the synovium and cartilage, the exudation depth,  
123 and the blood flow of the synovium (the synovial peak systolic velocity (PSV), synovial end diastolic

124 velocity (EDV), systolic/diastolic ratio (S/D) and resistance index (RI)) were observed before and at 6  
125 months and 12 months after injection. S/D and RI were the most important indexes to observe the  
126 synovial blood flow resistance, which increases with decreased synovitis.

127 *Synovial Fluid Evaluation:* Before injection, an independent orthopedic physician extracted  
128 approximately 2-3 ml of joint fluid and stored it at  $-80^{\circ}\text{C}$ . MMP-3, TIMP-1, IL-1 $\beta$ , and TNF- $\alpha$  were  
129 detected by a senior researcher blinded to this study using a double-antibody sandwich enzyme-linked  
130 immunosorbent assay (ELISA). The kit was provided by Neobioscience, and a microplate reader  
131 (BioTek, Synergy H1, Stem Cell Engineering Laboratory) was used for detection.

132 *Complication Evaluation:* Within 2 months after the first injection, the occurrence of systemic and  
133 local complications (nausea, vomiting, pain, swelling, rash, and hematoma) was recorded. The  
134 complication evaluation of pain mainly depends on the VAS scores at 3, 7, 14, 30 and 60 days after the  
135 first injection.

### 136 **Power Calculation and Data Analysis**

137 To calculate the adequate number of knees for the study, we performed a power analysis using  
138 software (PASS 20.0). A minimum sample size of 87 knees was required (or 29 knees per group) based  
139 on a study power of 80% ( $\beta=0.20$ ), a false-positive rate of 5% ( $\alpha=0.05$ ), and effect size (Cohen  $f=0.14$ )  
140 in prior-therapy for VAS scores and synovial thickness versus posttherapy, according to previous studies.  
141 <sup>3, 34</sup> Predicting a 10% dropout rate, we enrolled approximately 40 knees per group at baseline.

142 All data were analyzed using SPSS 25.0 software for statistical analysis. All data were normally  
143 distributed. All measurement data are expressed as the means  $\pm$  standard deviation and confidence  
144 intervals (CI). A repeated-measures analysis of variance (ANOVA) was used for comparisons between  
145 various time points in the same group. A least significant difference (Bonferroni) test or Tamhane's test  
146 was used for between-group comparisons. A paired-samples t-test was used for pairwise comparisons.  
147 The significance level was set at  $P = .05$ .

148

149

## Results

150 In total, 122 knees (78 patients, with 44 patients receiving a bilateral injections) were randomly  
151 divided into three groups. The follow-up ended on 1 October 2019. The study included 23 males and 55  
152 females ranging in age from 42 to 79 years with a body mass index (BMI) between 22.0 and 25.0  $\text{kg}/\text{m}^2$ .  
153 Overall, 46 left knees and 76 right knees had a Kellgren/Lawrence (K/L) grade of II-III, and the duration  
154 of joint pain was less than 1 year. Due to additional procedures, 98 knees were excluded from the  
155 analysis (Fig 1). No significant differences in baseline characteristics (Table 2) were found among the  
156 three groups ( $P > .05$ ).

### 157 **Platelet Density in Whole Blood and PRP**

158 The platelet density was  $18.5 \pm 4.5 \times 10^4/\mu\text{l}$  in whole blood and  $95.0 \pm 17.3 \times 10^4/\mu\text{l}$  in PRP (Fig 2A,  
159 2B). The platelet density (Fig 2C) in PRP was 5.13 times that in whole blood ( $P = .002$ ).

### 160 **Knee Pain Score**

161 After 1 month, the VAS score in the HA group (Fig. 3A) decreased from  $4.23 \pm 0.70$  to  $2.82 \pm 0.83$  ( $P$   
162  $= .000$ ), but there was no significant difference after 6, 12, or 24 months ( $P > .05$ ). After 1 month, the  
163 VAS score in the PRP group increased from  $4.33 \pm 0.66$  to  $4.85 \pm 0.62$  ( $P = .000$ ), and significant  
164 improvement was noted after 6 and 12 months ( $P = .000$ ;  $P = .000$ ). However, no significant difference

165 was observed after 24 months ( $P = .48$ ). PRP+HA and PRP treatments resulted in better pain scores than  
166 HA treatment ( $P = .000$ ). At 24 months after injection, PRP+HA was more effective than HA and PRP  
167 alone at relieving pain ( $P = .000$ ). Significant improvement was observed in the PRP+HA group after 1,  
168 6, 12, and 24 months ( $P < .001$ ).

### 169 **Functional Score**

170 At 1 month, significant improvements in the Lysholm, WOMAC, and Lequesne scores were noted  
171 (Fig 3B, 3C, 3D) in the HA group ( $P = .000$ ;  $P = .000$ ;  $P = .000$ , respectively), while at 6, 12, and 24  
172 months after the injection, the functional scores were not better than the preoperative scores ( $P > .05$ ). At  
173 6 and 12 months after the injection, the PRP and PRP+HA groups had significantly better functional  
174 scores than the HA group ( $P < .001$ ). The PRP+HA group had better functional scores than the PRP  
175 group after 24 months ( $P = .000$ ).

### 176 **Cartilage, Synovial Thickness, and Effusion Changes**

177 Six months after injection, the synovial thicknesses (Fig 4A) of the medial and lateral femoral  
178 condyles were significantly improved in the PRP+HA and PRP groups ( $P < .05$ ). At 12 months, the  
179 synovial thickness of the lateral femoral condyle was partly improved in the PRP+HA group ( $P < .05$ ).  
180 The improvement effect of PRP+HA was more obvious than that of PRP ( $P < .05$ ). In addition, no  
181 significant changes in the thickness of the medial or lateral femoral condyle cartilage (Fig 4B) or in the  
182 depth of effusion in the suprapatellar bursa or deep infrapatellar bursa (Fig 4C) were observed in the  
183 three groups ( $P > .05$ ).

### 184 **PSV, EDV, S/D, and RI changes**

185 Six months after injection, the synovial PSV (from 6.88 [95% CI, 6.19 - 7.56] to 5.42 [95% CI, 4.89 -  
186 5.94];  $P = .003$ ), EDV (from 4.44 [95% CI, 3.89 - 4.99] to 2.52 [95% CI, 2.29 - 2.75];  $P = .000$ ), S/D  
187 (from 1.74 [95% CI, 1.57 - 1.92] to 2.30 [95% CI, 2.06 - 2.54];  $P = .001$ ), and RI (from 0.37 [95% CI,  
188 0.32 - 0.42] to 0.51 [95% CI, 0.47 - 0.56];  $P = .000$ ) values (Fig 5A, 5B, 5C, 5D) of the medial condyle  
189 had improved significantly, and the lateral synovial blood flow values (PSV, EDV, S/D, and RI) were  
190 also significantly improved in the PRP+HA group ( $P < .05$ ). The synovial blood flow values (PSV, EDV,  
191 S/D, and RI) of the medial and lateral condyles had improved significantly in the PRP group ( $P < .05$ ).  
192 After 12 months, the synovial PSV (from 6.17 [95% CI, 5.57 - 6.76] to 5.11 [95% CI, 4.74 - 5.49];  $P$   
193  $= .011$ ), EDV (from 4.16 [95% CI, 3.72 - 4.59] to 3.32 [95% CI, 3.07 - 3.57];  $P = .004$ ), S/D (from 1.56  
194 [95% CI, 1.41 - 1.71] to 1.95 [95% CI, 1.74 - 2.16];  $P = .010$ ), and RI (from 0.31 [95% CI, 0.27 - 0.36]  
195 to 0.42 [95% CI, 0.36 - 0.47];  $P = .013$ ) values of the lateral condyle in the PRP+HA group had  
196 improved ( $P < .05$ ).

### 197 **Complications**

198 Joint swelling was measured 1 cm proximal of the base of the patella with a tape measure. Pain after  
199 injection was evaluated using VAS scores by video phone to patients. No systemic complications  
200 including nausea and vomiting were found in any of the 78 patients. No increased pain after injection  
201 was found in the HA group, 5 cases in the PRP group, and 2 cases in the PRP+HA group. The local  
202 complications of all 122 knees in the PRP group were higher than in the HA and PRP+HA groups ( $P$   
203  $= .008$ ), and no significant differences were identified between the HA and PRP+HA groups ( $P = 1.00$ ).  
204 (Table 3)

**MMP-3, TIMP-1, IL-1 $\beta$ , and TNF- $\alpha$  changes**

205 Six months after injection, IL-1 $\beta$  (from 59.71 [95% CI, 57.37 - 62.06] to 51.41 [95% CI, 49.33 -  
206 53.49];  $P = .000$ ), TNF- $\alpha$  (from 174.89 [95% CI, 155.97 - 193.81] to 143.53 [95% CI, 133.11 - 153.95];  
207  $P = .014$ ), MMP-3 (from 171.59 [95% CI, 160.05 - 183.13] to 151.38 [95% CI, 142.99 - 159.78];  $P$   
208 = .018), and TIMP-1 (from 3282.67 [95% CI, 3169.78 - 3395.57] to 2935.91 [95% CI, 2754.44 -  
209 3117.37];  $P = .005$ ) were decreased in the PRP group (Fig 7A, 7B, 7C, 7D) but were more significantly  
210 decreased in the PRP+HA group ( $P < 0.001$ ). At 12 months after injection, the PRP+HA group still  
211 showed inhibition of IL-1 $\beta$  (from 71.68 [95% CI, 69.06 - 74.29] to 63.98 [95% CI, 62.28 - 65.68];  $P$   
212 = .000), TNF- $\alpha$  (from 179.62 [95% CI, 170.18 - 189.07] to 155.65 [95% CI, 143.49 - 167.81];  $P = .007$ ),  
213 MMP-3 (from 164.31 [95% CI, 157.46 - 171.16] to 152.23 [95% CI, 145.95 - 158.51];  $P = .031$ ), and  
214 TIMP-1 (from 3723.80 [95% CI, 3584.88 - 3862.74] to 3059.15 [95% CI, 2974.30 - 3143.99];  $P = .000$ ).  
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220

**Discussion**

221

222 This study demonstrated that PRP combined with HA improved local synovial hyperplasia and blood  
223 flow and better inhibited nonbacterial inflammation of the synovium than HA or PRP alone.  
224 Furthermore, the combination treatment effectively improved pain and function scores and reduced the  
225 incidence of adverse reactions. PRP combined with HA and PRP alone partially reduced the level of  
226 inflammatory factors (IFs) and MMPs in the synovial fluid, reflecting the potential therapeutic  
227 mechanism of the two treatments.

228 This study showed that HA had short-term clinical efficacy in the treatment of KOA,<sup>35-37</sup> but its 6  
229 months postoperative effect was not as good as those of PRP and PRP combined with HA. Twenty-four  
230 months after injection, PRP combined with HA still had a good clinical effect and clear advantages over  
231 PRP alone. In recent years, animal experimental studies have shown that PRP combined with HA has a  
232 better clinical effect than PRP alone at repairing cartilage defects.<sup>27, 28</sup> Especially in cell and animal  
233 models in vitro, HA combined with PRP could rescue proinflammatory cytokine-induced degeneration  
234 through chondrogenic signaling recovery.<sup>23</sup> Currently, few clinical studies on the treatment of KOA  
235 support these basic experiments.<sup>21, 24, 25</sup> However, excellent results of the PRP+HA association have  
236 been reported in the healing of pressure ulcers and surgical wounds and in Morton neuroma surgery,<sup>38-40</sup>  
237 but these anecdotal findings need confirmation by controlled trials, and the mechanism of treatment  
238 needs to be discussed in depth. The positive result showed that the addition of HA might offer a better  
239 environment for cartilage regeneration. Moreover, studies have used HA as a scaffold material for  
240 cartilage repair and as a carrier for the adhesion of stem cells.<sup>41</sup> These experiments taken together  
241 suggest that the association of HA and PRP can influence and facilitate cell division, migration, and  
242 differentiation, which may explain the better clinical effect of PRP combined with HA.

243 Marx suggested that the platelet density of PRP should be approximately 4 ~ 5-times that of whole  
244 blood to provide a sufficient platelet reserve for the release of various active biological factors.<sup>42</sup> The  
245 PRP used in this study complies with this standard.



246 In this study, we utilized high-frequency color Doppler imaging as an objective KOA evaluation  
247 method. Although magnetic resonance imaging (MRI) is a mainstream evaluation method and has  
248 unquestionable performance,<sup>43-46</sup> it is expensive and often requires a long wait time for appointments,  
249 increasing the psychological and economic burden on patients. On the other hand, high-frequency color  
250 Doppler imaging reduces the patient wait time as well as the cost of treatment. Moreover, this method  
251 can effectively detect synovial hyperplasia, blood flow, and cartilage thickness under the guidance of  
252 physicians experienced with ultrasound.<sup>47, 48</sup>

253 Some studies have shown that the synovium plays an important role in the symptoms and structural  
254 changes in KOA,<sup>3, 48, 49, 50</sup> High-frequency color Doppler imaging can detect microvascular flow changes,  
255<sup>51</sup> and this technique was used to demonstrate that both PRP combined with HA and PRP alone can  
256 significantly improve synovial hyperplasia of the femoral condyle and decrease the synovial PSV and  
257 EDV of the femoral condyle of the knee joint; However, PRP combined with HA was more  
258 advantageous for controlling the synovial S/D and RI of the femoral condyle. With high-frequency color  
259 Doppler imaging, blood flow in the healthy synovium is very difficult to detect, but inflammatory  
260 stimuli can cause blood vessels to dilate. PRP combined with HA or PRP alone can inhibit synovial  
261 inflammation. In turn, the dilated synovial blood vessels constrict, their inner diameter decreases, and  
262 the blood flow volume inside the blood vessels is reduced. Therefore, when nonbacterial inflammation  
263 of the synovium is relieved, the PSV and EDV show a decline to varying degrees, while the S/D and RI  
264 show increases due to increased blood flow resistance.<sup>52</sup> PRP combined with HA inhibits synovial  
265 inflammation more effectively than PRP alone.

266 All patients included in this study were diagnosed with KOA in the medial compartment, and the  
267 quality of the synovium is closely related to the severity of KOA.<sup>53, 54</sup> Some studies have suggested that  
268 the medial compartment in KOA bears the most pressure load,<sup>55-58</sup> which leads to lower quality of the  
269 synovium of the medial femoral condyle than that of the lateral compartment. The injection of PRP+HA  
270 provided a suitable microenvironment for the growth of synovium but did not change the force line of  
271 the lower limbs. Thus, the pressure load on the medial compartment persisted. Although the medial  
272 condyle of the femur has been improved to some extent, the quality of the medial condyle is not as good  
273 as that of the lateral condyle,<sup>59</sup> which led to a medial to lateral difference 12 months after injection.  
274 Because the knee joint has a complex anatomical structure, PRP and HA may not achieve an even  
275 distribution inside the joint, and a concentration gradient may occur. This may be another factor  
276 underlying the differential improvement in synovial hyperplasia and blood flow in different regions of  
277 the same knee joint. The results did not demonstrate significant changes in the cartilage thickness of the  
278 femoral condyle or the depth of joint effusion. The present study focused on short-term changes, which  
279 may account for the discrepancy in results from previous studies with a longer observation period.<sup>16</sup>

280 In this study, we found that the incidence of PRP-induced adverse reactions increased significantly,  
281 which is not clearly explained at present, but white blood cells may play an important role in the  
282 occurrence of adverse reactions because they can stimulate oxidative stress reactions and toxicity of  
283 proteolytic enzymes in the knee joint.<sup>60</sup> PRP is rich in platelets, which release bioactive factors that can  
284 antagonize the adverse effects of white blood cells; these effects may explain why pain and swelling  
285 occur only in the early stage of injection.<sup>61</sup> There have been few clinical controlled trials of PRP  
286 combined with HA for knee osteoarthritis, and these studies did not focus on the complications of PRP  
287 combined with HA.<sup>21, 24, 25</sup> Only one controlled experiment, including 360 patients, systematically stated  
288 the complications of PRP combined with HA, but its main concern was the complications the  
289 treatment-emergent adverse events (hypertension and proteinuria) caused by different doses of PRP and

290 HA.<sup>22</sup> However, this current study focused on the systematic and local complications of PRP combined  
291 with HA and that of PRP and HA alone. The combination of PRP and HA significantly reduced the local  
292 complications (pain and joint swelling). The reasons for these results are not very clear. HA might  
293 weaken the oxidative stress reaction and proteolytic enzymes induced by leukocytes and effectively  
294 improve the microenvironment of the knee joint.

295 This study found that there was variability among pre-op values, and the main reason was the strong  
296 correlation between the inflammatory level of the grade of knee osteoarthritis and the pathological  
297 condition of the synovium.<sup>62,63</sup> This study found that PRP and PRP combined with HA could effectively  
298 inhibit the level of inflammation, and the inhibition function of PRP combined with HA was more  
299 apparent and persistent. Several studies have confirmed that IFs and MMPs play an important role in  
300 KOA pathogenesis and progression.<sup>64-66</sup> In particular, IL-1 $\beta$  and TNF- $\alpha$  can promote the expression of  
301 MMPs in cartilage and synovial tissue. PRP and PRP combined with HA had an obvious inhibitory  
302 effect on IFs and MMP 6 months after injection, because PRP obtained a variety of growth factors and  
303 bioactive cells, which could effectively inhibit synovitis and provide a suitable microenvironment for  
304 cartilage regeneration. However, the inhibition of PRP combined with HA was more clear, and the  
305 inhibition time was longer. The main reason may be that PRP combined with HA can release growth  
306 factors and active cells more gently, form a material scaffold of HA,<sup>41</sup> cause PRP to better adhere in the  
307 synovium and cartilage, and inhibit the release of IFs and MMPs.

### 308 **Limitations**

309 This study has several limitations. Some patients' joint fluid could not be extracted 6 months or 12  
310 months after injection and a small proportion of patients consumed NSAIDs or steroids, resulting in  
311 different sample sizes of the three groups. The main reason for consuming NSAIDs and steroids is that  
312 the long-term effect of PRP or HA alone is not as good as that of PRP+HA. On the other hand, some  
313 enrolled patients had received bilateral injection, which increased the heterogeneity of the population.  
314 However, this is also a pragmatic reflection of the outpatient department. Thus, by including bilateral  
315 knee OA patients, our study design closely reflects actual clinical practice and further validates the  
316 application of our results to a larger clinical patient population.<sup>67</sup> Moreover, the significant differences  
317 between the HA, PRP and PRP+HA groups in the pre-op values of synovial blood flow and  
318 inflammatory factors have obvious correlations with the grade of knee osteoarthritis and the pathological  
319 condition of the synovium,<sup>62</sup> This is a true reflection of clinical practice, and a random method could not  
320 effectively avoid this difference. In addition, PRP combined with HA could inhibit synovitis of the  
321 lateral compartment better than that of the medial compartment because of the stress of the medial  
322 compartment. Last, high-frequency color Doppler imaging was performed by highly experienced  
323 physicians; due to practical restrictions, we could not ensure that the exam was completed by the same  
324 doctor in each case. The potential lack of accuracy due to different U/S operators might have introduced  
325 bias into the experimental data.

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### **Conclusions**

327 PRP combined with HA is more effective than PRP or HA alone at inhibiting synovial  
328 inflammation and can effectively improve pain and function and reduce adverse reactions. Its  
329 mechanism involves changes in the synovium and cytokine content.

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515 A Randomized, Double-Blind, Triple-Parallel, Placebo-Controlled Clinical Trial. *Arthroscopy*  
516 2019;35:106-117.

**517 Table 1. Subject Eligibility Criteria**

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**Inclusion criteria**

Ability to provide informed consent

Aged between 42 and 79 years

Diagnosis of Kellgren-Lawrence stage II ~ III

No prior injection therapy (HA, PRP, steroid, etc.)

No prior surgical history (HTO, arthroscopy, internal fixation of a knee fracture, etc.)

No prior pain medication (NSAID, etc.) in the past 1month

**Exclusion criteria**

Kellgren-Lawrence stage IV

Allergy or contraindication to the study drugs

Secondary osteoarthritis (infectious arthritis, rheumatoid arthritis, hemophilic arthritis, traumatic knee osteoarthritis, etc.)

Synovial fluid could not be extracted before and after injection

Severe cardiocerebrovascular disease, liver or kidney disease, or endocrine disease

Endocrine disease (poor control of type II diabetes, uncontrolled hyperthyroidism, etc.)

Poor skin condition at the puncture site

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HTO, high tibial osteotomy, NSAID, nonsteroidal anti-inflammatory drug.

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**Table 2.** Baseline characteristics of the study patients in the three groups

<b>Variable</b>	<b>HA</b>	<b>PRP</b>	<b>PRP + HA</b>	<b><i>p</i>-value</b>
Age (years)	57.1 ± 3.4	56.9 ± 4.2	57.9 ± 4.1	0.64
Sex (male/female)	5/15	10/20	8/20	0.81
BMI (kg/m <sup>2</sup> )	22.8 ± 2.1	22.5 ± 2.3	21.5 ± 2.5	0.12
Ipsilateral (left/right)	15/19	11/29	20/28	0.26
Duration (months)	10.5 ± 2.0	11.5 ± 2.6	11.1 ± 2.5	0.32
<b>K/L grade</b>				<b>0.63</b>
Grade II	20	19	25	ns
Grade III	14	21	23	ns
<b>Comorbidities</b>				<b>0.83</b>
Essential hypertension	3	3	2	ns
Type II diabetes	0	0	2	ns
Coagulopathy	0	0	0	ns
Renal insufficiency	0	0	0	ns
Severe heart disease	0	0	0	ns

546 The data are presented as the means ± standard error (confidence interval (CI) 95%) unless otherwise indicated.

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**Table 3.** Comparison of treatment complications among the three groups

Complications	HA	PRP	PRP + HA	<i>P</i> values
Infection	0	0	0	
Fever	0	0	0	
Joint swelling	1	4	0	
Pain after injection	0	5	2	
Hematoma	0	0	0	
Rash	0	0	0	
Muscle atrophy	0	0	0	
Venous thrombosis	0	0	0	
Incidence	1/34	9/40	2/48	<i>P</i> = .008

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Comparison of treatment complications among the three groups (Fisher's exact test = 9.12, *P* = .008).

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The incidence of complications in the PRP group was higher than that in the HA and PRP+HA groups

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(*P* = .02; *P* = .02, respectively), and there was no significant difference between the HA group and

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PRP+HA group (*P* = 1.00).

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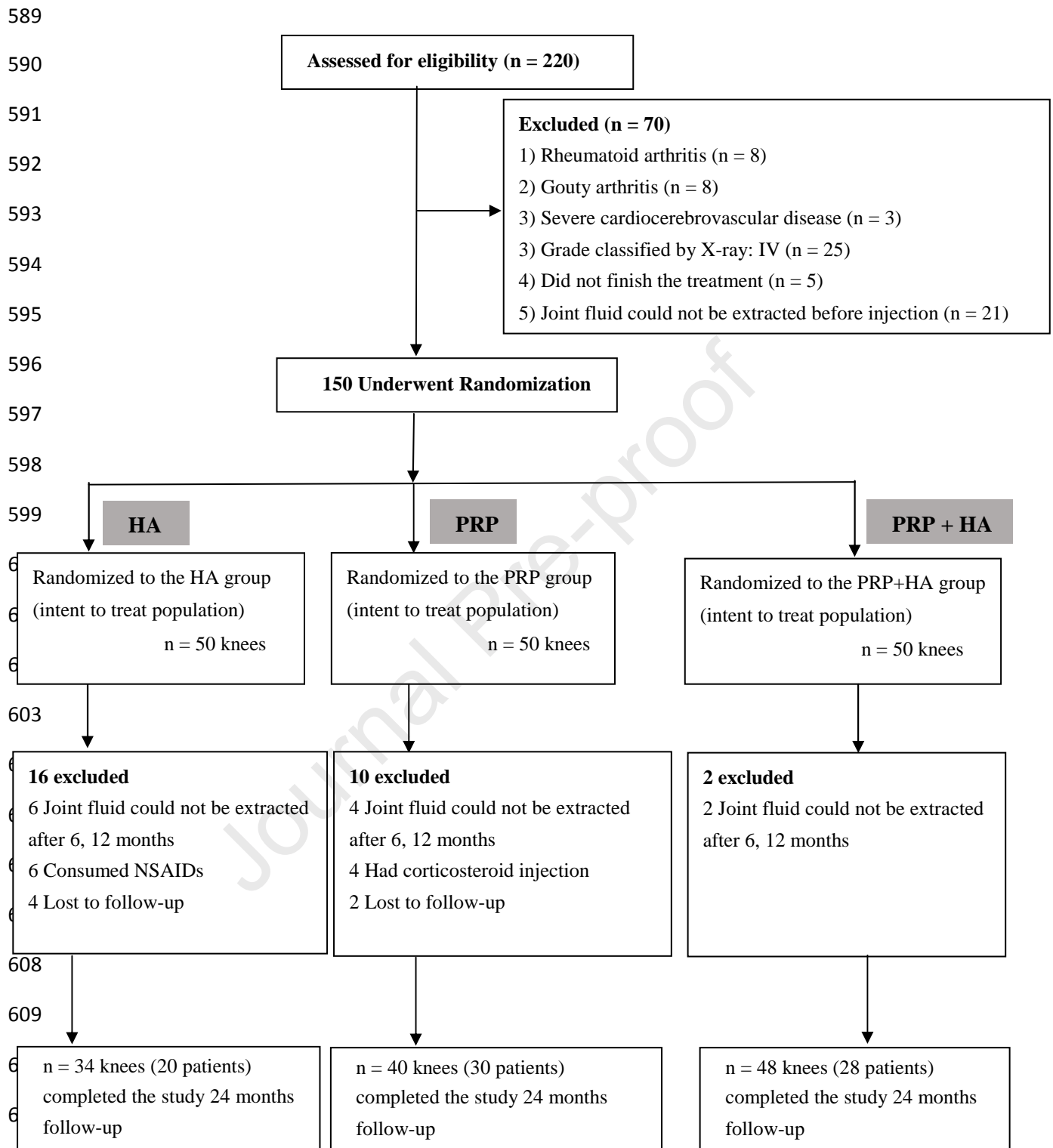
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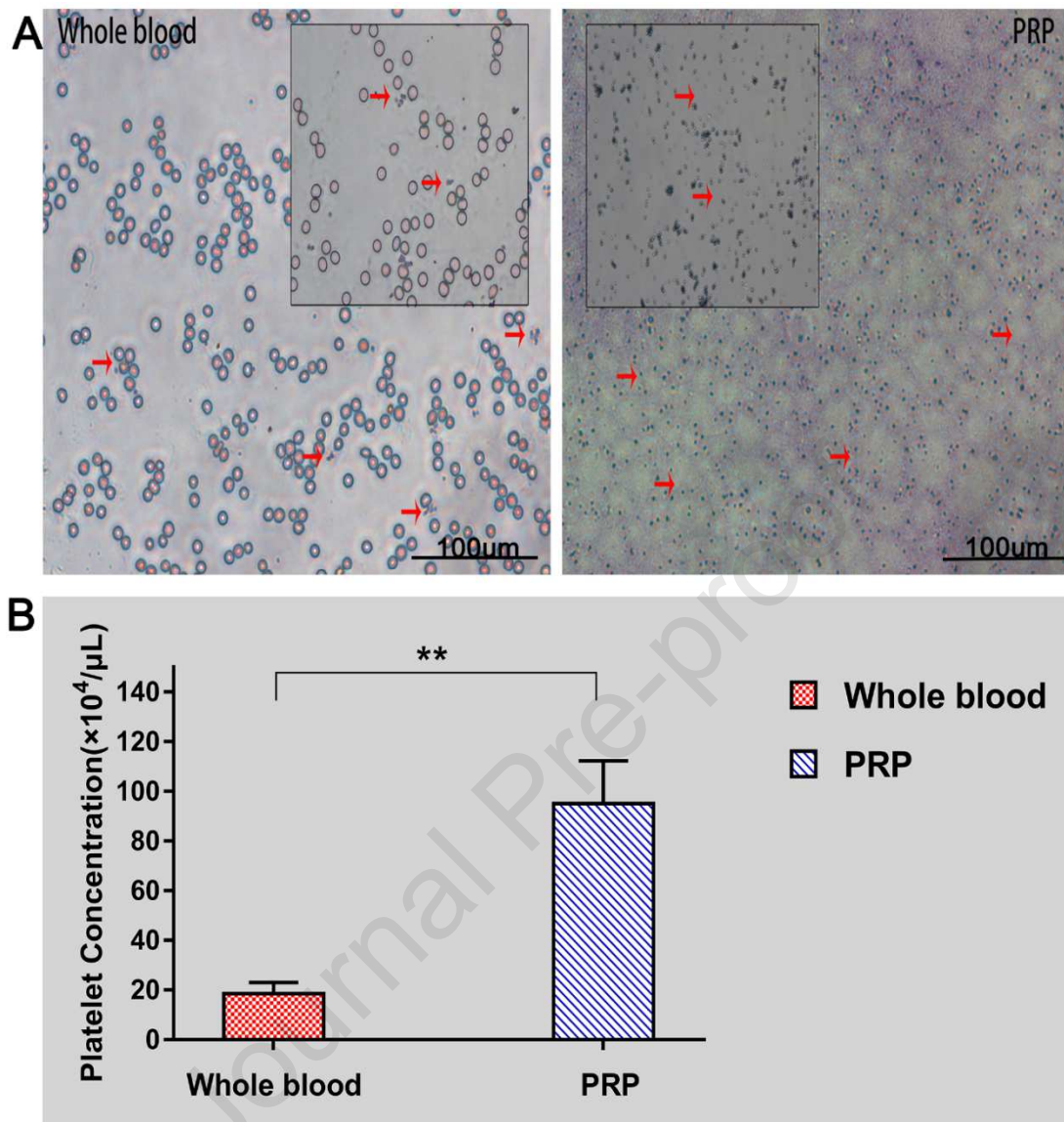
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**Fig 1.** Flow diagram of the clinical trial.



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615 **Fig 2.** (A) Whole-blood and PRP smears stained using Wright-Giemsa. (B) Platelet densities in whole  
616 blood and PRP were determined using a hematology analyzer. The platelet density (arrow) in PRP was  
617 5.13 times that in whole blood ( $P = .002$ ).

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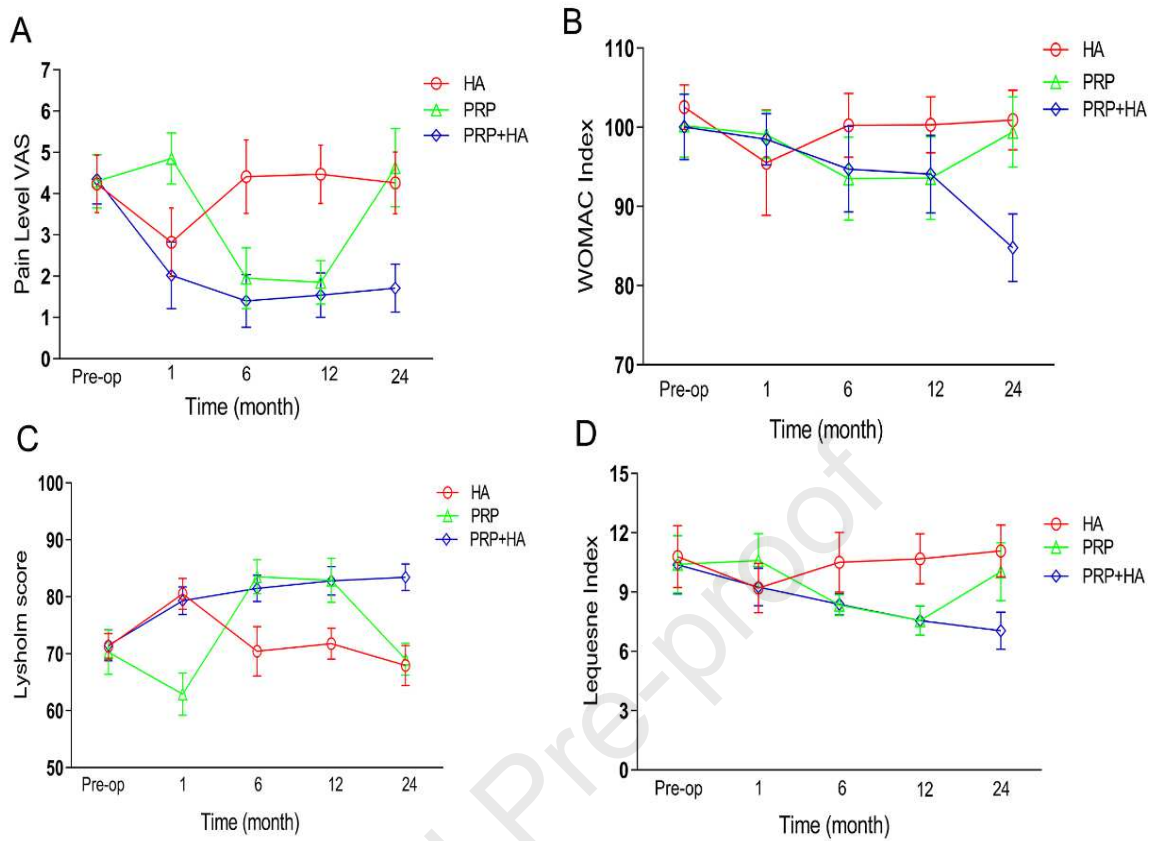


Fig 3. (A, B, C, D) The VAS, WOMAC, Lequesne and Lysholm scores at each follow-up time point.

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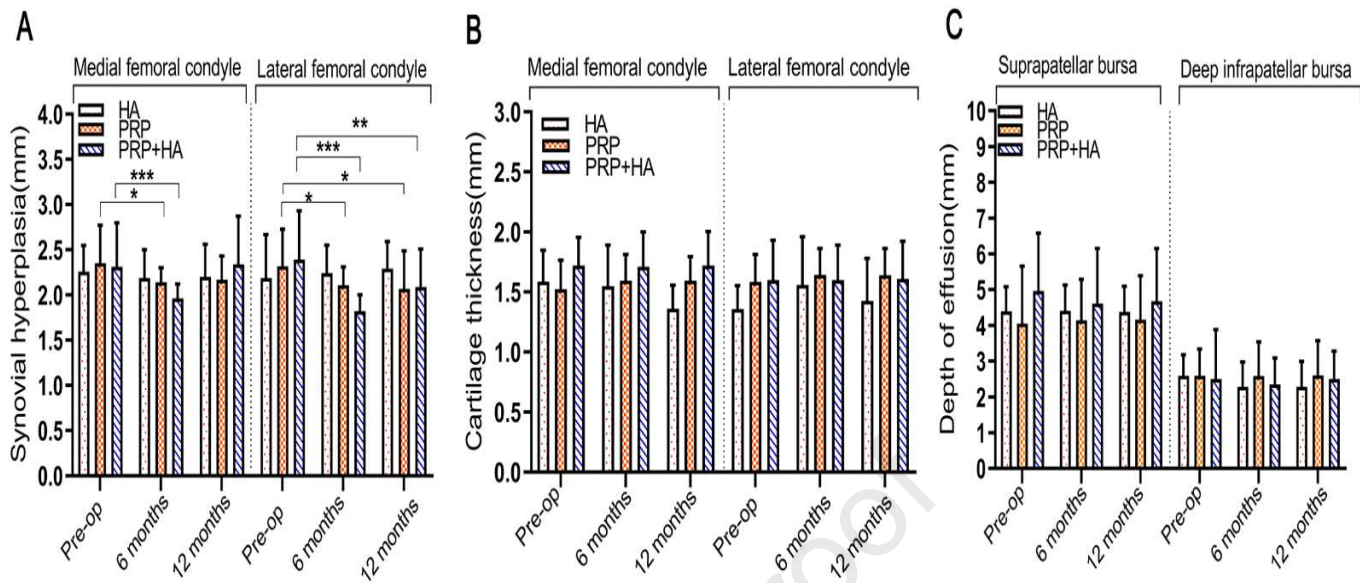
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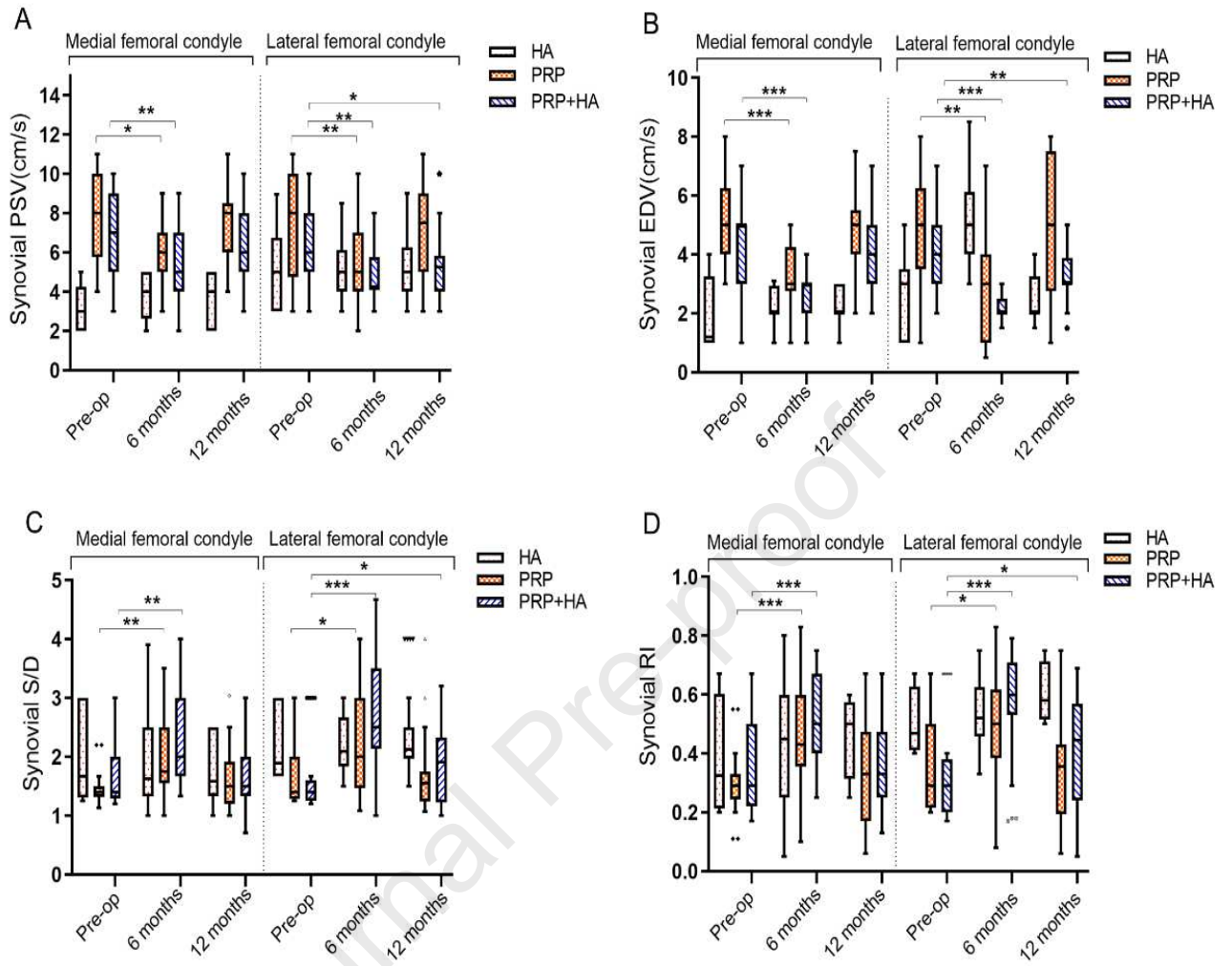
640 **Fig 4.** (A) High-frequency color Doppler imaging results demonstrated an improvement in synovial  
 641 hyperplasia of the medial and lateral femoral condyle in the PRP and PRP+HA groups 6 months after  
 642 injection ( $P < .05$ ), with more obvious improvement in the PRP+HA group ( $P < .05$ ). (B) No significant  
 643 change in the thickness of the medial or lateral femoral condyle cartilage was observed in the three groups  
 644 ( $P > .05$ ). (C) No significant change in the depth of effusion in the suprapatellar bursa or deep infrapatellar  
 645 bursa was observed in the three groups ( $P > .05$ ).

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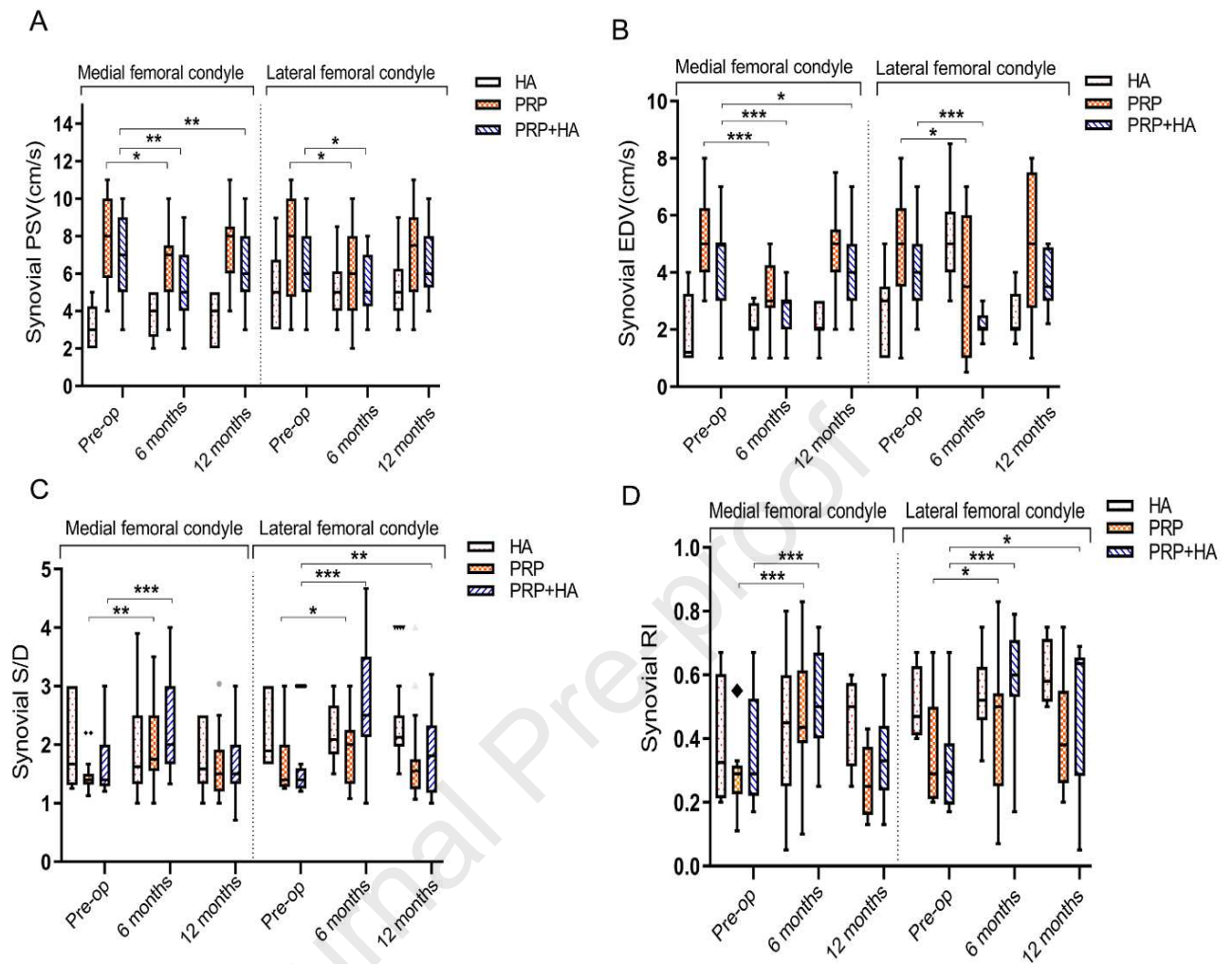
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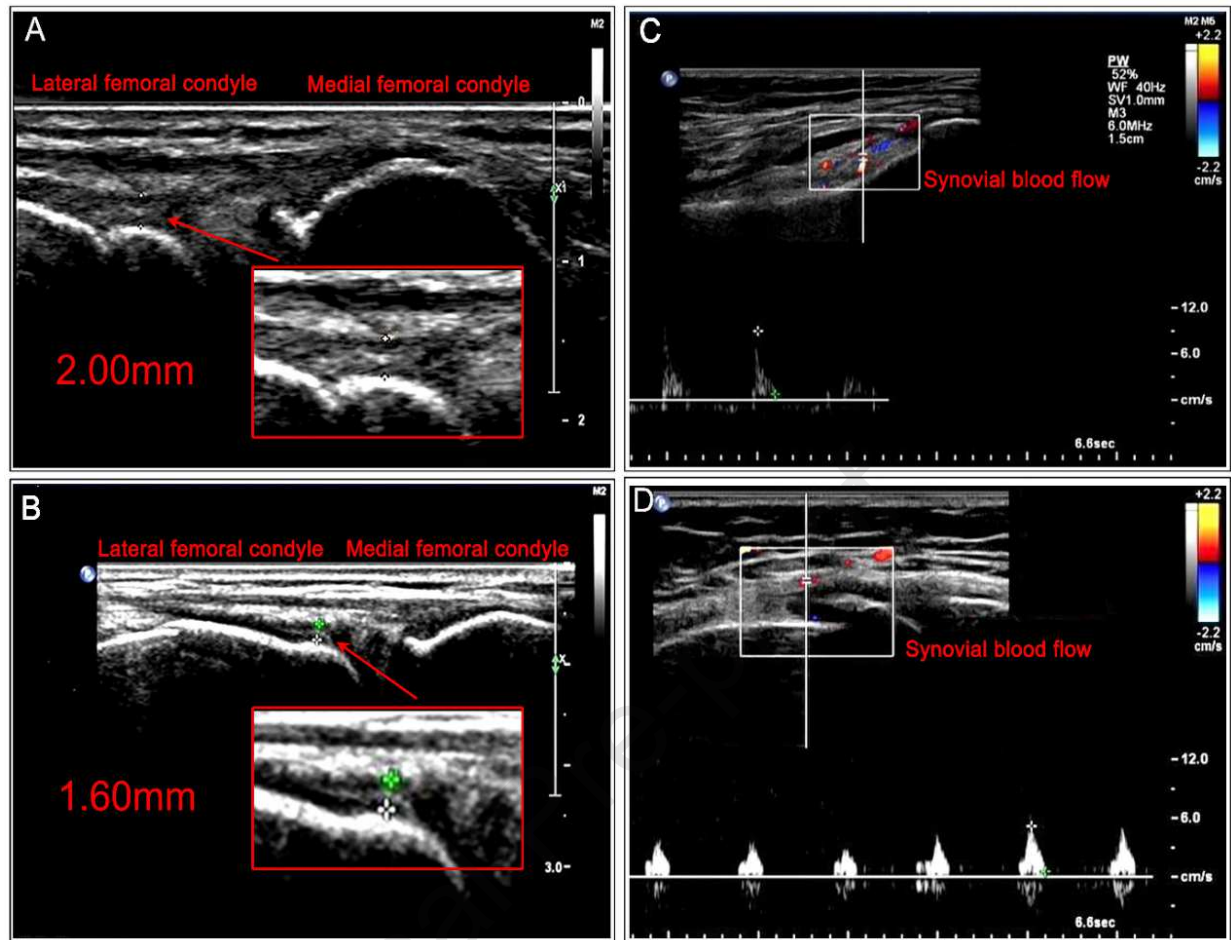
652 **Fig 5.** (A, B) No significant change in the synovial PSV or EDV values of the medial and lateral  
 653 condyles was observed in the HA group ( $P > .05$ ). After 6 months, the synovial PSV and EDV values of  
 654 the medial and lateral femoral condyle in the PRP+HA and PRP groups decreased significantly ( $P < .05$ ).  
 655 (C, D) Significant increases in the S/D and RI of the medial and lateral condyle were observed in the  
 656 PRP and PRP+HA groups ( $P < .05$ ). At 12 months, the synovial PSV, EDV, S/D and RI of the lateral  
 657 condyle were significantly improved in the PRP+HA group ( $P < .05$ ). Boxes indicate the 25% and 75%  
 658 percentiles, whiskers indicate the minimum to maximum values, and bars indicate the median.

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663 **Fig 6.** (A, B) High-frequency color Doppler images showing significant improvement of the synovial  
664 hyperplasia of the lateral femoral condyle. (C, D) High-frequency color Doppler images demonstrating a  
665 decrease in the synovial blood flow volume after 12 months of combined treatment with PRP and HA.

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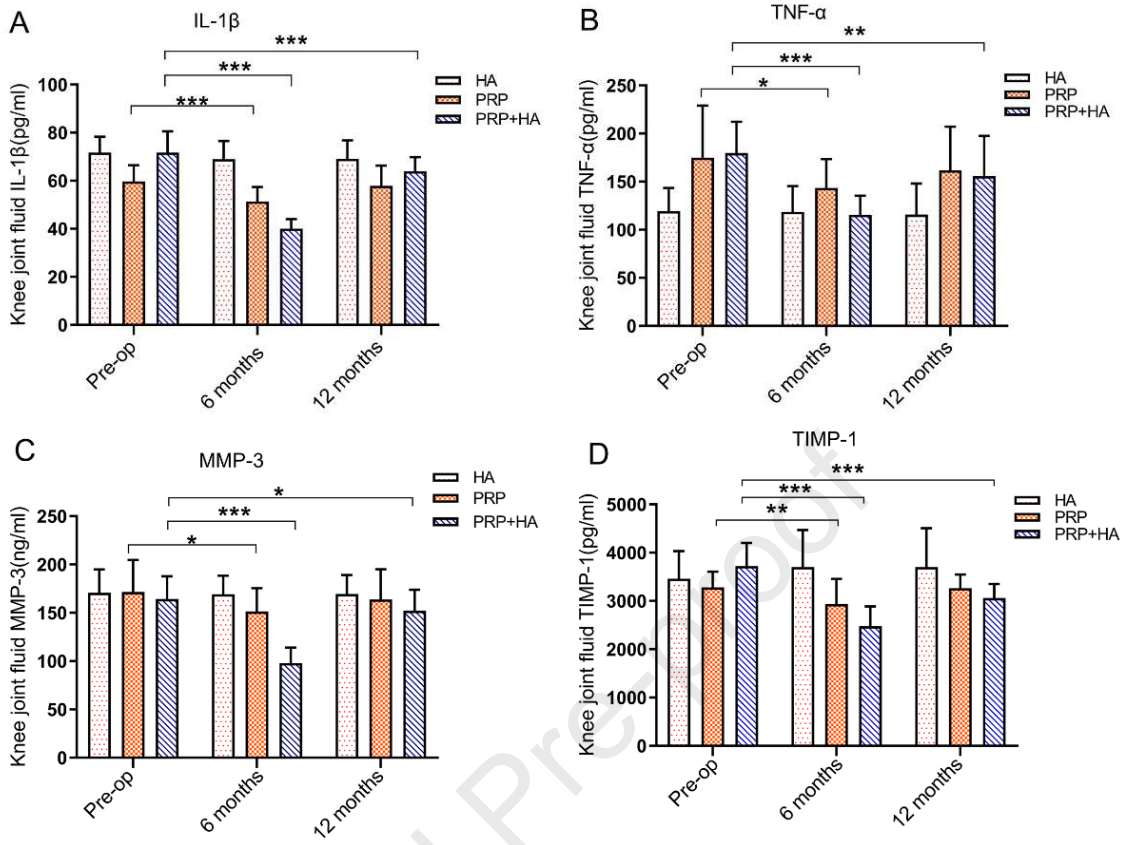
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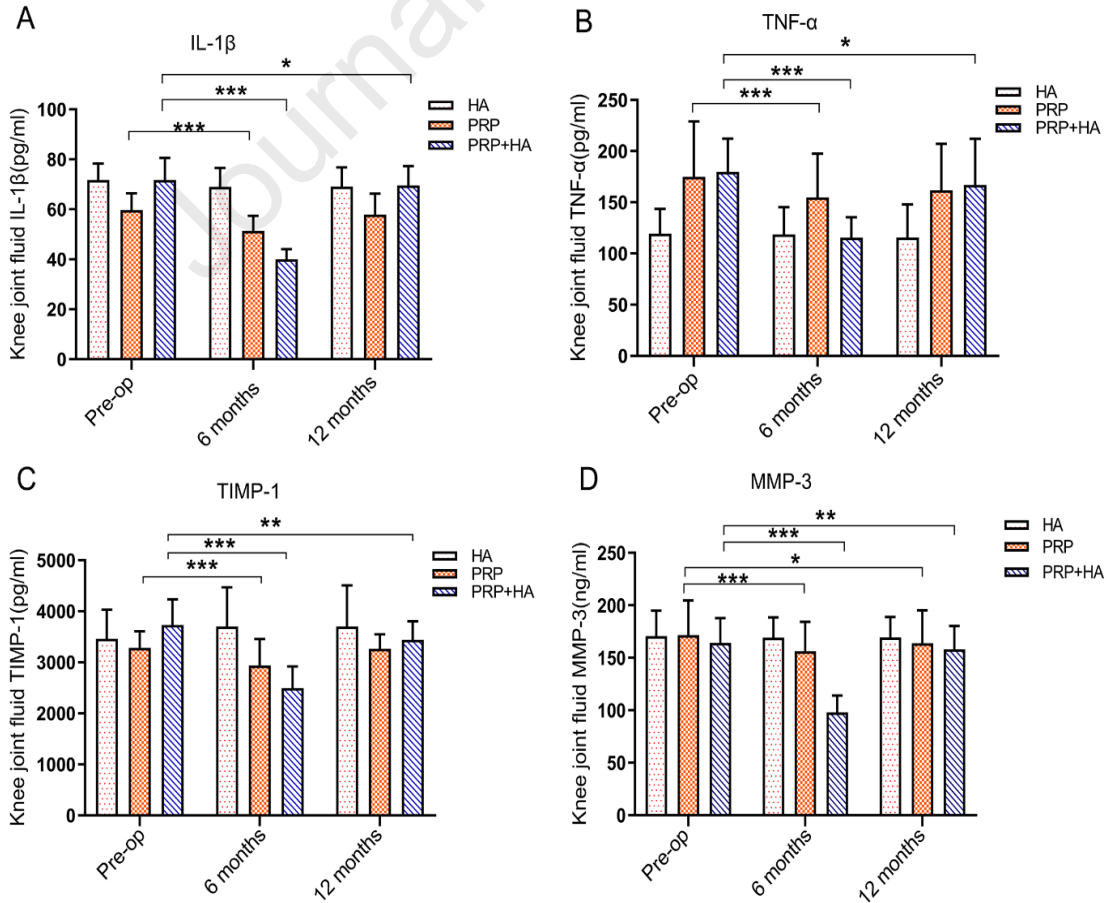
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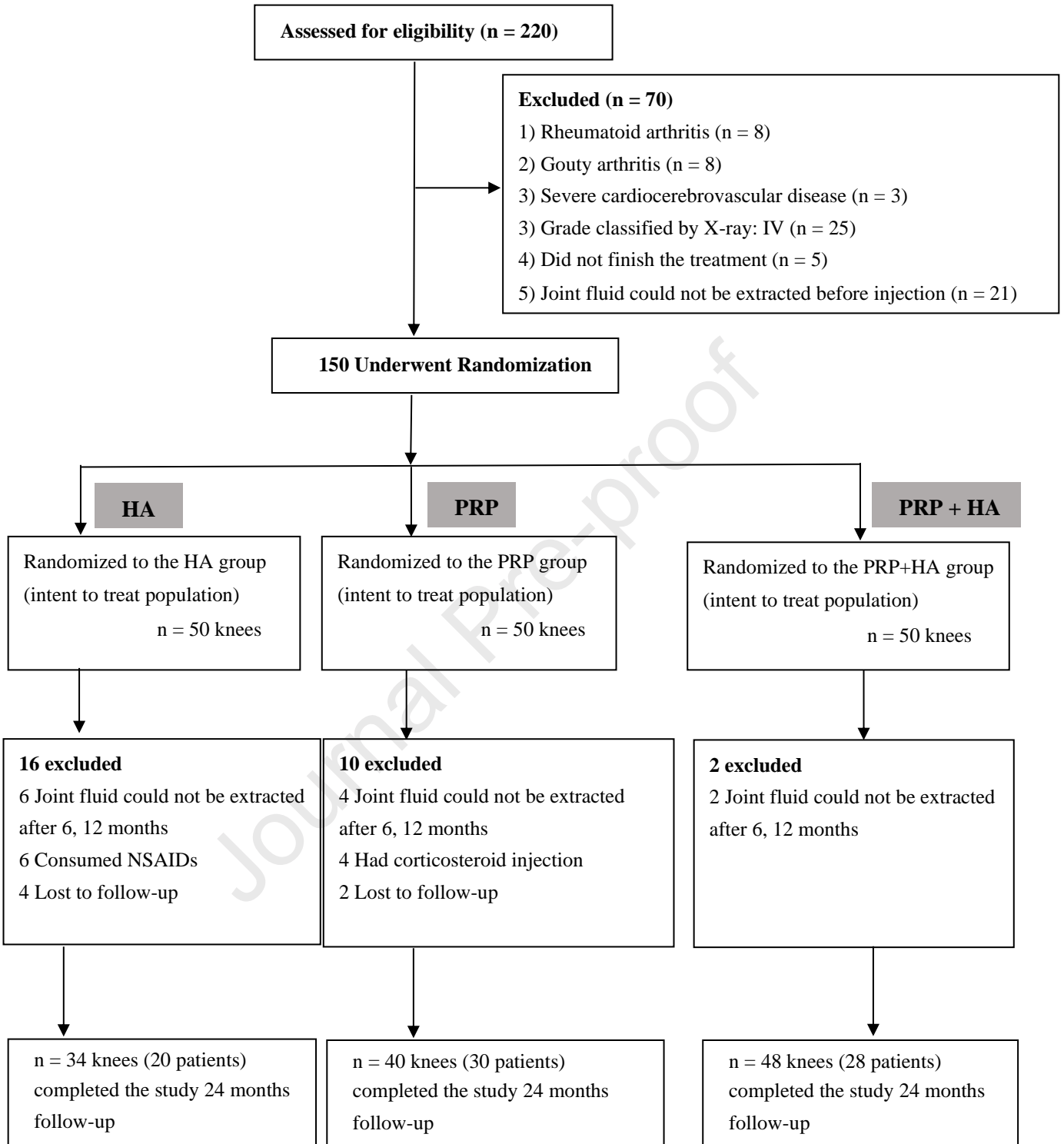
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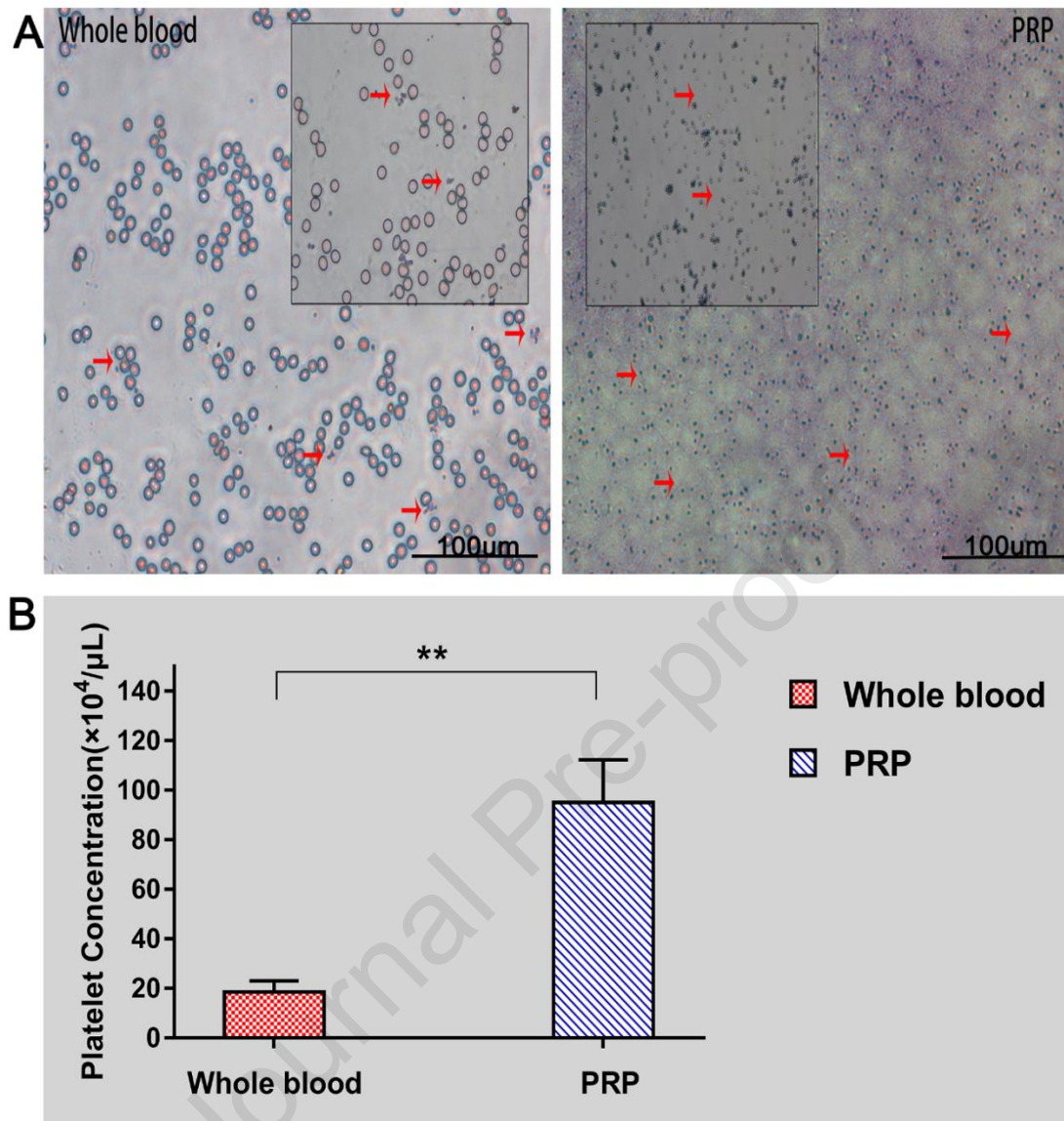
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679 **Fig 7.** (A, B, C, D) Six months after injection, ELISA showed that postoperative IL-1 $\beta$ , TNF- $\alpha$ , MMP-3,  
680 and TIMP-1 levels in synovial fluid were unchanged in the HA group ( $P > .05$ ) and that the IL-1 $\beta$ ,  
681 TNF- $\alpha$ , MMP-3, and TIMP-1 levels in the PRP and PRP+HA groups were lower than those before  
682 injection ( $P = .000$ ). After 12 months, the PRP+HA group still showed inhibition of IL-1  $\beta$ , TNF- $\alpha$ ,  
683 MMP-3, and TIMP-1 ( $P < .05$ ), and the inhibition was significantly weaker than that before 6 months ( $P$   
684  $< .001$ ).

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**Fig 1.** Flow diagram of the clinical trial.



**Fig 2.** (A) Whole-blood and PRP smears stained using Wright-Giemsa. (B) Platelet densities in whole blood and PRP were determined using a hematology analyzer. The platelet density (arrow) in PRP was 5.13 times that in whole blood ( $P = .002$ ).

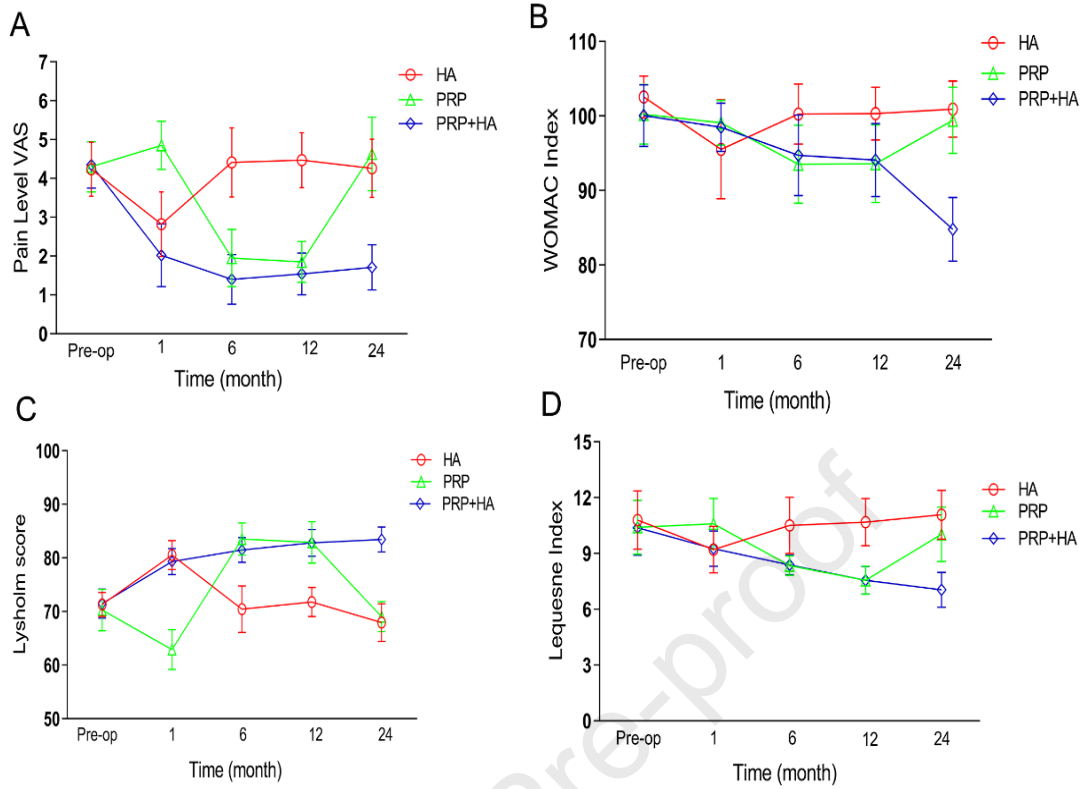
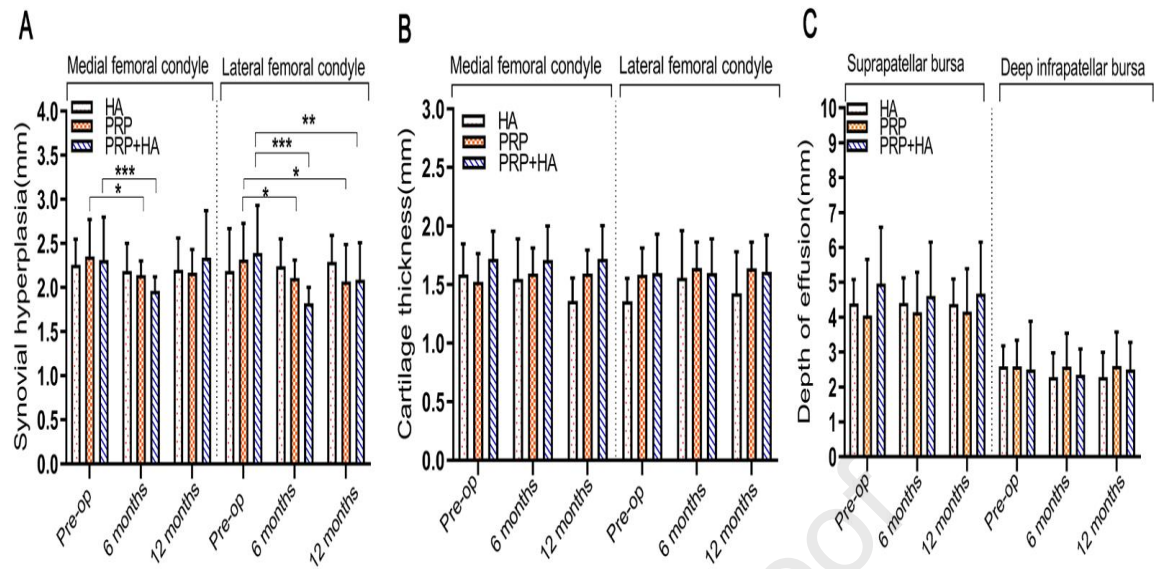
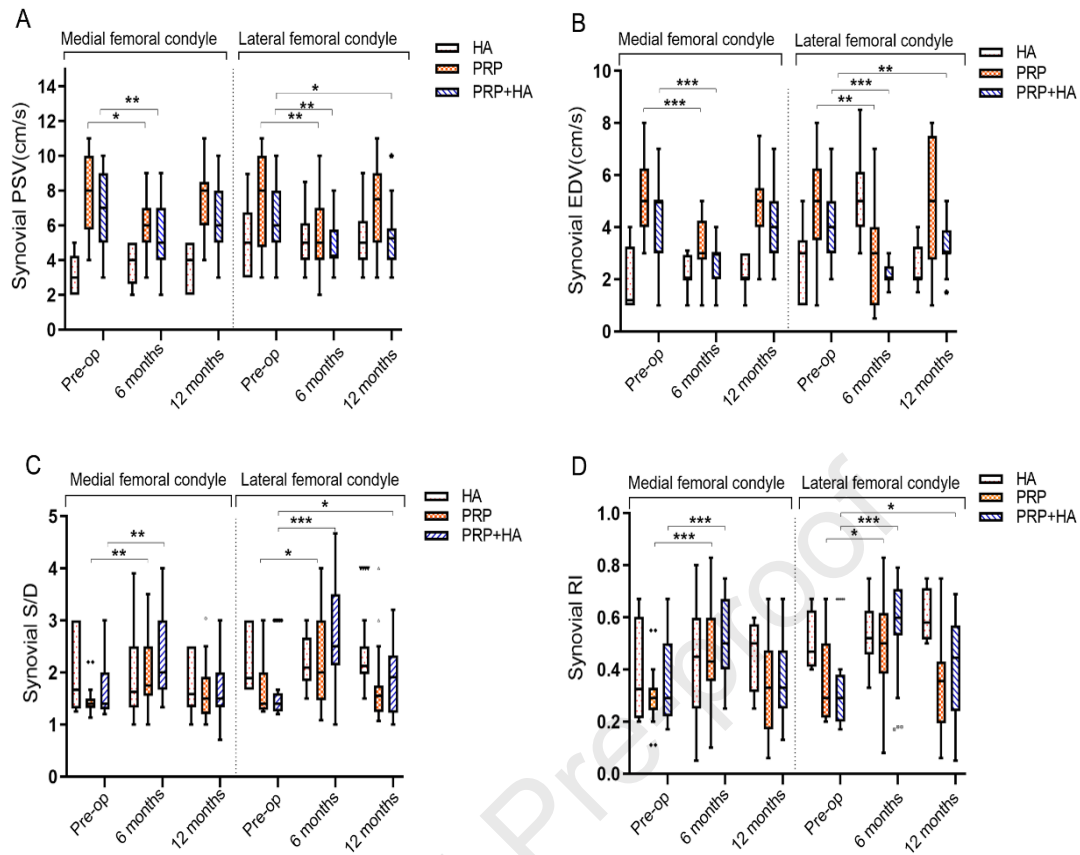


Fig 3. (A, B, C, D) The VAS, WOMAC, Lequesne and Lysholm scores at each follow-up time point.

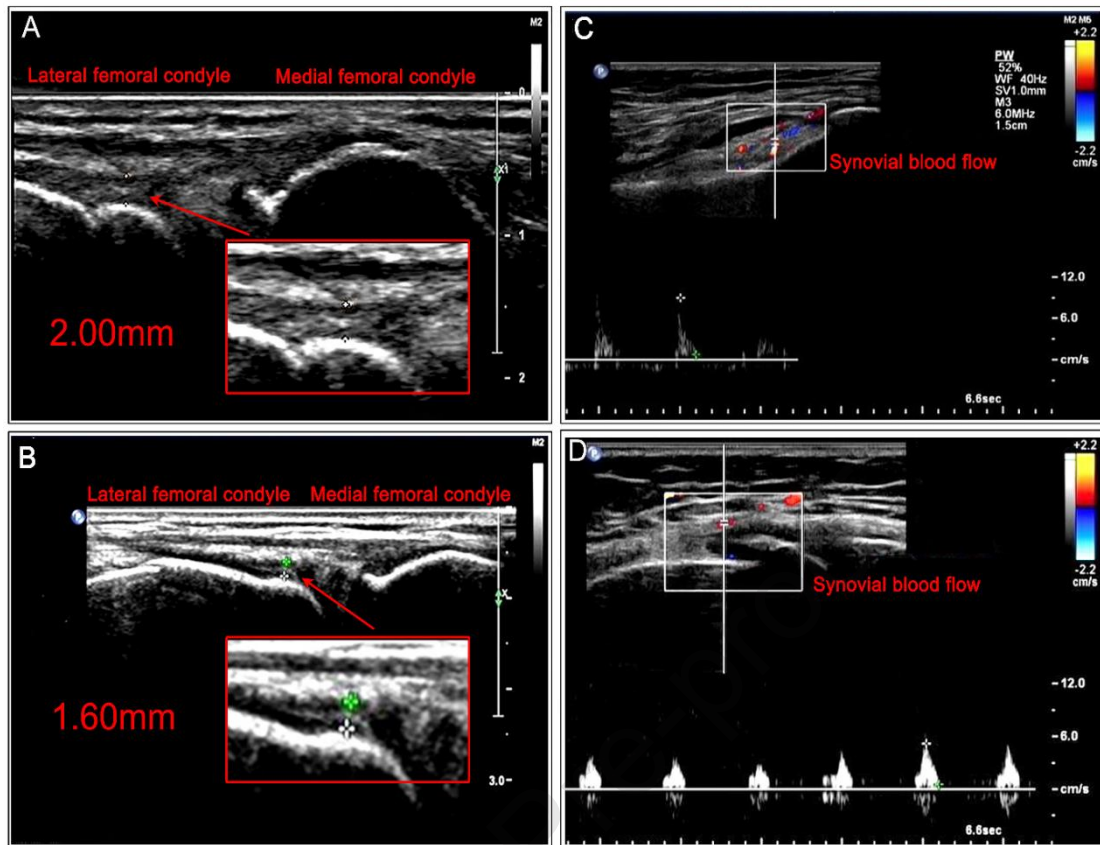


**Fig 4.** (A) High-frequency color Doppler imaging results demonstrated an improvement in synovial hyperplasia of the medial and lateral femoral condyle in the PRP and PRP+HA groups 6 months after injection ( $P < .05$ ), with more obvious improvement in the PRP+HA group ( $P < .05$ ). (B) No significant change in the thickness of the medial or lateral femoral condyle cartilage was observed in the three groups ( $P > .05$ ). (C) No significant change in the depth of effusion in the suprapatellar bursa or deep infrapatellar bursa was observed in the three groups ( $P > .05$ ).

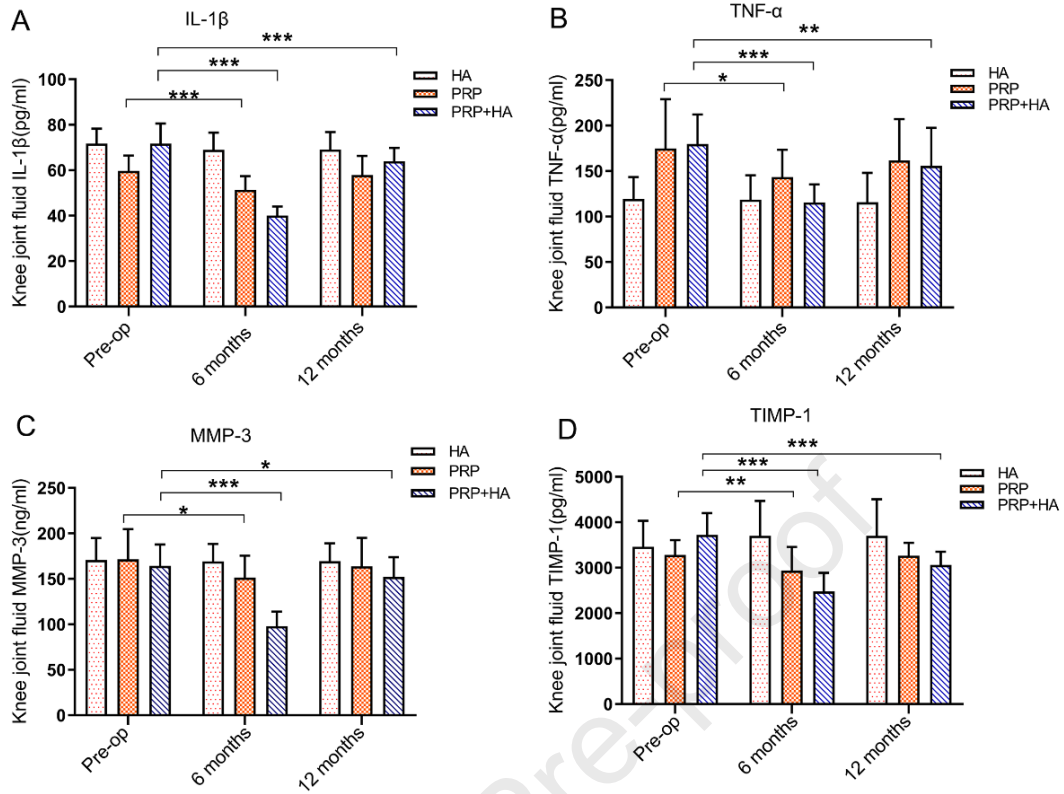


**Fig 5.** (A, B) No significant change in the synovial PSV or EDV values of the medial and lateral condyles was observed in the HA group ( $P > .05$ ). After 6 months, the synovial PSV and EDV values of the medial and lateral femoral condyle in the PRP+HA and PRP groups decreased significantly ( $P < .05$ ). (C, D) Significant increases in the S/D and RI of the medial and lateral condyle were observed in the PRP and PRP+HA groups ( $P < .05$ ). At 12 months, the synovial PSV, EDV, S/D and RI of the lateral condyle were significantly improved in the PRP+HA group ( $P < .05$ ). Boxes indicate the 25% and 75% percentiles, whiskers indicate the minimum to maximum values, and bars indicate the median.





**Fig 6.** (A, B) High-frequency color Doppler images showing significant improvement of the synovial hyperplasia of the lateral femoral condyle. (C, D) High-frequency color Doppler images demonstrating a decrease in the synovial blood flow volume after 12 months of combined treatment with PRP and HA.



**Fig 7.** (A, B, C, D) Six months after injection, ELISA showed that postoperative IL-1 $\beta$ , TNF- $\alpha$ , MMP-3, and TIMP-1 levels in synovial fluid were unchanged in the HA group ( $P > .05$ ) and that the IL-1 $\beta$ , TNF- $\alpha$ , MMP-3, and TIMP-1 levels in the PRP and PRP+HA groups were lower than those before injection ( $P = .000$ ). After 12 months, the PRP+HA group still showed inhibition of IL-1  $\beta$ , TNF- $\alpha$ , MMP-3, and TIMP-1 ( $P < .05$ ), and the inhibition was significantly weaker than that before 6 months ( $P < .001$ ).